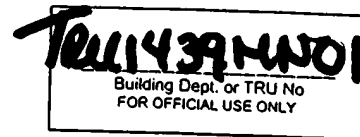


NYC DEPARTMENT OF ENVIRONMENTAL PROTECTION
Asbestos Control Program
59-17 Junction Boulevard, 8th Floor, Corona, NY 11368-5107

ASBESTOS PROJECT NOTIFICATION (ASBESTOS INSPECTION REPORT)



1. \$1200
(See fee schedule)

When submitting this form at the NYC Department of Buildings, the original form and three (3) copies with original signatures are required. Submittal at the NYCDEP requires one copy of the form with original signatures. This form must be submitted to the NYC DEP not less than one week in advance of the start of abatement activities.

I. FACILITY

2. Address 3 World Financial Center Borough Manhattan Zip 10007
AKA _____ 3. Block 16 4. Lot 140
5. Type of Facility Commercial Office 6. Name of Building American Express Tower

II. BUILDING OWNER

7. Name American Express Co. 8. Contact Person Mr. Greg Gutierrez
9. Tel. # 212-640-9075 Fax # 212-640-9204
10. Address 3 World Financial Center City New York State NY Zip 10007

III. GENERAL CONTRACTOR

11. Name Mc Clier, Inc. Tel. # 973-623-9270

IV. ASBESTOS ABATEMENT CONTRACTOR

12. Name ETS Contracting, Inc. 13. Contact Person Mr. Robert Middleton
14. Federal Employer ID. # PII 15. Tel. # 718-706-6300 Fax # 718-706-1032
16. Address 160 Clay Street City Brooklyn State NY Zip 11222

V. THIRD PARTY AIR MONITOR

17. Name Ambient Group, Inc. 18. Contact Person Mr. Jack Springston
19. Federal Employer ID. # PII 20. Tel. # 212-944-4615 Fax # 212-944-4618
21. Address 55 West 39th Street City New York State NY Zip 10018
22. Sample Analysis Laboratory Ambient Group, Inc. 23. NYS DOH ELAP # 10865

VI. PROJECT INFORMATION

24. Starting date for this portion of work 12/14/01 Projected completion date 3/31/02

Asbestos work schedule ☒ Monday ☒ Tuesday ☒ Wednesday ☒ Thursday ☒ Friday ☒ Saturday ☒ Sunday

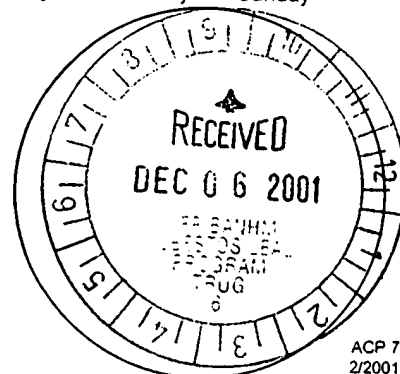
Shift from: 7:00 ☒ am ☐ pm to 7:00 ☒ am ☐ pm

If other,
specify _____

Access to inspect the premises must be provided during the work schedule indicated in this item.

25. Total amount of asbestos-containing material to be abated during this work

11,300 Square Feet, and/or _____ Linear Feet



ACP 7
2/2001

ASBESTOS INSPECTION REPORT (continued)

26. Asbestos Hauler Tri-State Transfer NYS DEC Permit # 1A-375 Tel.# 718-617-0771
 Disposal Site(s) Meadowfill Landfill, Rt. 2, Box 68, Bridgeport, WV & Greenridge Recalm., Rd. 1, Scottsdale, PA

27. This asbestos abatement is part of a (Item a through e requires filing of this form with NYC Department of Buildings)
 a) ☐ Demolition b) ☐ Boiler Replacement c) ☐ Sprinkler Replacement d) ☐ Renovation/Alteration
 e) ☐ Fireproofing Replacement f) ☒ Other (Describe) ACM Removal Only

28. TYPE OF ABATEMENT (Check all appropriate boxes)

☒ Removal ☐ Enclosure ☐ Encapsulation ☐ Repair ☒ Clean up

29. ABATEMENT PROCEDURE (Check all appropriate boxes)

☒ Full Containment ☐ Glovebag ☐ Tent ☐ DEP Variance Application

TRU 1439 MN 01

30. LOCATIONS OF ABATEMENT

Floor(s)	DESCRIBE SECTION OF FLOOR (e.g. entire, east wing, room #, boiler room, lobby, etc.)	AFFECTED SURFACES CONTAINING ACM (e.g. Pipe lagging, ceiling, plenum ducts, storage tanks, decking, etc.)	AMOUNT OF ACM SQUARE FEET	LINEAR FEET	DESCRIPTION OF WORK BEING PERFORMED (e.g. running cable, installing fire sprinklers, removing and replacing boilers, etc.)
1st	S.E. Corner	Debris Clean -Up	1,300		ACM Debris Clean - Up
2nd	S.E. Corner	Debris Clean -Up	4,000		ACM Debris Clean - Up
Mezzan.	S.E. Corner	Debris Clean - Up	4,000		ACM Debris Clean - Up
3rd	Training Room	Debris Clean - Up	1,200		ACM Debris Clean - Up
3rd	AC Unit, AC 2C	Debris Clean -Up	800		ACM Debris Clean - Up
	Note: Above areas to be	abated as per World Trade			
	Center Protocol	Letter of Work Procedures			
	For Debris Clean Up.				

31. I hereby declare that the information provided herein is true and complete to the best of my knowledge. I am familiar with Federal, State and NYC laws and regulations applicable to asbestos related work.

Ambient Group, Inc. Print Name of Air Monitor Signature 12/5/01 Date	ETS Contracting Inc. Print Name of Asbestos Contractor Signature 12/5/01 Date	ETS Contracting Inc. Print Name of Applicant (If other than Owner) Signature 12/5/01 Date
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32. I understand that as the owner of a building where asbestos abatement activity occurs, I am responsible for the performance of the asbestos abatement activities in accordance with the Asbestos Control Program Rules. I have contracted the third party air monitor who is completely independent of all parties involved in the asbestos project. I hereby declare that I have authorized the filing of this notification for the work specified herein.

American Express Co.
 Print Name of Owner

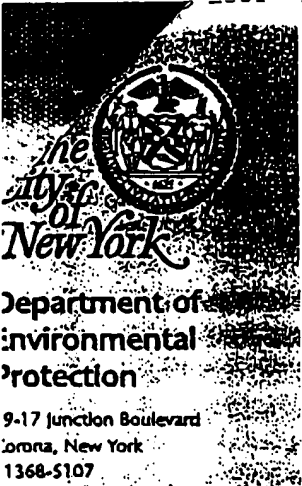
 Signature
 12/5/01
 Date

(as agent for owner)

A STAMPED COPY OF THIS FORM INCLUDING AMENDMENTS MUST BE AVAILABLE AT THE WORK SITE.

Any modification of information provided on this form must be reported immediately in writing directly to the NYC DEP ACP.

The requirements of the Asbestos Control Program Rules may not be lawfully avoided or lessened through the performance of work in incremental or piecemeal fashion.



To: Building Owners

From: R. Radhakrishnan, P.E.

Date: September 26, 2001

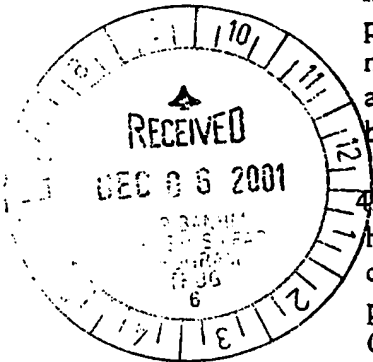
Subject: Clean-up of Debris inside Buildings

ETS CONTRACTING, INC.
160 Clay Street
BROOKLYN, NEW YORK 11222

P 12/5/01

Building owners or their representatives instituting clean-up activities of areas affected by the World Trade Center attack should follow these procedures:

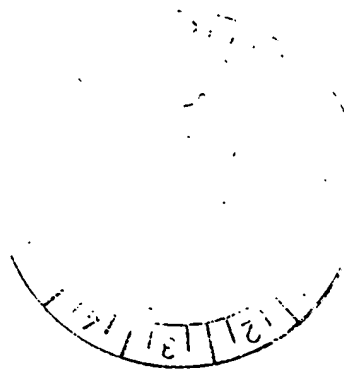
1. Minimal dust accumulations (light coating) can be cleaned using wet methods and/or vacuums equipped with HEPA filters.
2. HVAC-system filters should be replaced prior to re-occupancy.
3. If you are concerned that debris may contain asbestos, accumulations of dust that include pieces of debris can be sampled by a NYC-DEP certified investigator or NYS-DOL inspector to determine whether the material is asbestos-containing material (ACM). The USEPA has studied this issue in numerous buildings in and around the WTC and reported that the potential presence of ACM in dust and debris is minimal. Wipe sampling and/or micro-vacuum sampling are not recognized methods for asbestos sampling and should not be used. Bulk sample analysis (PLM) must be performed by laboratories with ELAP accreditation.
4. Only individuals certified by the NYC-DEP as asbestos handlers can handle dust and debris accumulations identified to be ACM or asbestos-contaminated objects. ACM is any material containing more than one percent asbestos. All work shall be performed in accordance with Title 15, Chapter 1 of the Rules of the City of New York.
5. Emergency telephone notification must be provided to the Department. The telephone notification must include the name affiliation, and telephone number of the caller; the exact location including street address, floor, and surface types to be cleaned; the name, address, and telephone number of the NYS DOL licensed asbestos contractor handling the material; and the starting date. The Asbestos and Lead Control Program (ALCP) may be reached via (718) DEP-HELP (24 hrs/7days).
6. A scope-of-work including the information outlined in number 3 above and the procedures to be employed should be faxed to the ALCP at fax number (718) 595-3744. The Department will review plans, provide assistance, and offer recommendations. Our staff will be available to



answer your questions from 8:00 a.m. to 8:00 p.m. seven days per week. A field office has been set up to expedite on-site assistance if necessary.

7. Remote showering units shall be acceptable. The area where the installation is proposed shall be pre-cleaned using wet wiping and/or HEPA vacuuming procedures. The location of the unit shall be specified in the scope of work submitted to the DEP. The unit should be set up so as to avoid tracking of materials into already cleaned areas.
8. Isolation barriers shall be installed between unaffected areas and areas to be cleaned.
9. A third party, who is independent of the asbestos abatement contractor, must perform air sampling and analysis. Any person(s) who conducts sampling must possess a valid NYS Asbestos Project Air Sampling Technician Certificate. Air sampling must be performed in accordance with the requirements set forth in Title 15, Chapter 1 of the Rules of the City of New York.
10. An "Asbestos Inspection Report" form, ACP 7, shall be submitted directly to the DEP within one-week. The ACP 7 must list the emergency clean up quantities in item 30.
11. Please note, the disturbance/ removal of in place material in the work place is not permitted during clean-up activities unless the Department specifically determines the need to abate damaged in place as part of the clean up. The emergency phase of the project must be completed prior to in place ACM abatement.

If you have any questions or require clarification, please do not hesitate to call the Department at (718) DEP-HELP (24 hrs/7days).



December 6, 2001



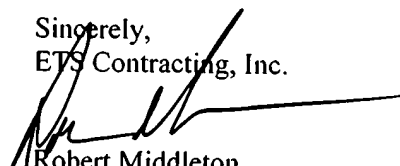
Mr. Rajappan Radhakrishnan,
 Director
 NYC Department Of Environmental Protection
 Bureau Of Air, Noise And Hazardous Materials
 Asbestos And Lead Control Program
 59-17 Junction Blvd. - 8th Floor
 Corona, NY 11368-5707

Re: 3 World Financial Center, 1st, 2nd, Mezzanine, 3rd Floor Areas

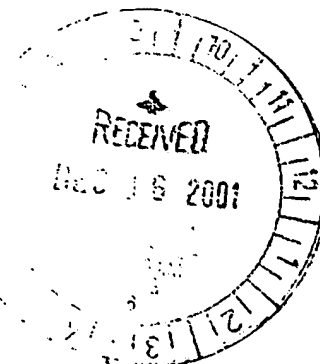
ETS Contracting, Inc.
 Method Of Procedure (Narrative Overview) – Emergency Debris Clean - Up

- Install GFI Electric Panel And Run Water Hoses.
 - Erect Decontamination Facility Install Negative Air Filtration HEPA Units (attached to work areas).
 - Install Containment Polyethylene Protection Of Critical Barriers Only (as per protocol letter).
 - Perform Gross Removal Operations Of ACM Debris for Designated Areas Caused By World Trade Center Attack.
 - Perform Fine Cleaning Operations And Air Test For Each Work Area.
 - Final Air Samples By Independent Air Monitor (ELAP Accredited).
 - Breakdown the Critical Barriers and Decon. Facilities.
 - Demobilize From The Site
- * All Aspects Of The NYC-DEP World Trade Center Emergency Procedures letter (9/26/01) will be adhered to.
- * There is no pre-existing ACM within the building.

Sincerely,
 ETS Contracting, Inc.


 Robert Middleton
 Sr. Project Executive

RM/mc
 var'3WFC



160 CLAY STREET
 BROOKLYN, NY 11222
 TEL. (718) 706-6300
 FAX. (718) 706-1032
 E-MAIL: ets@etsets.com

E N V I R O N M E N T A L T E C H N O L O G Y S Y S T E M G R O U P

ONLY
TYPEWRITTEN
FORMS WILL BE
ACCEPTED



NYC DEPARTMENT OF ENVIRONMENTAL PROTECTION
Asbestos Control Program
 59-17 Junction Boulevard, 8th Floor, Corona, NY 11368-5107
ASBESTOS PROJECT AMENDMENT FORM
 FOR FORM ACP 7

FOR OFFICIAL USE ONLY

Fee (if any) \$

2001-42827

Amendment
Information Only: ☐ Yes ☐ No

A modification is valid only if it is received by the NYCDEP prior to the previously filed date of completion, except for start date changes that must be received by the original start date.

ACP7 TRU/BN# 1439MN01 Facility Address 3 World Financial Center Borough Manhattan Zip 10007

Date ACP7 was filed 12/06/01 Variance # (if any) _____

Was this ACP7 amended before? ☐ Yes ☒ No If yes, specify date _____

Original Start Date 12/14/01 Original Completion Date 3/31/02 from ACP 7, #24.

PLEASE ENTER THE INFORMATION THAT IS BEING CHANGED:

A notification may be modified no more than twice.
 Only the building owner may amend items IV and V.
 The original applicant or building owner may amend all other items.

IV. ASBESTOS ABATEMENT CONTRACTOR

12. Name _____ 13. Contact Person _____
 14. Federal Employer ID. # _____ 15. Tel. # _____ Fax # _____
 16. Address _____ City _____ State _____ Zip _____

V. THIRD PARTY AIR MONITOR

17. Name ALC Environmental, Inc. 18. Contact Person Mr. Joshua Sarett
 19. Federal Employer ID. # PII 20. Tel. # 212-279-1001 Fax # 212-279-6747
 21. Address 115 West 30th Street City New York State NY Zip 10001
 22. Sample Analysis Laboratory ATC Associates, Inc. 23. NYS DOH ELAP # 10879

VI. PROJECT INFORMATION

24. Starting date for this portion of work _____ Projected completion date _____
☐ Project Cancelled
☐ Project Postponed
 Asbestos work schedule ☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday ☐ Saturday ☒ Sunday
 Shift from: _____ am _____ pm to _____ am _____ pm If other, specify _____

25. Additional asbestos-containing material to be disturbed during this work _____ Square Feet and/or _____ Linear Feet
 Reduction in the amount of ACM to be disturbed during this work _____ Square Feet and/or _____ Linear Feet

29. Abatement Procedure for Additional Material (Check all appropriate boxes)
☐ Full Containment ☐ Glovebag ☐ Tent ☐ DEP Variance Application

Other Changes _____

30. Locations of abatement modified by above _____
 (For each floor list ACM quantity and type)

31/32. Name of Applicant / Owner Mr. Jim Kronenwetter Tel. # 212-640-9075

Name of Company (If any) American Express Co. Fax # 212-640-9204

Address 3 World Financial Center City New York State NY Zip 10007

I hereby declare that the information provided herein is true and complete.

Signature of Applicant / Owner

12/14/01
 Date

ACP 8
 2/2001